

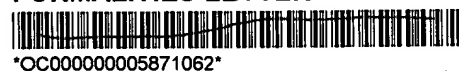
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/781,198	02/13/2001	Thomas Broadhead	05793.3027-00

CONFIRMATION NO. 8783

FORMALITIES LETTER



OC00000005871062

Finnegan, Henderson, Farabow
Garrett & Dunner, L.L.P.
1300 I Street, N.W.
Washington, DC 20005-3315

Date Mailed: 03/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

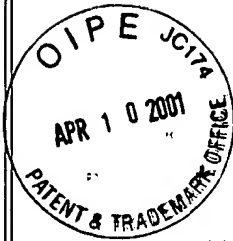
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/11/2001 YPOLITE1 00000090 09781198

01 FC:105

130.00 OP



#4

PATENT
Customer No. 22,852
Attorney Docket No. 05793.3027-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Thomas BROADHEAD *et al.*

Serial No.: 09/781,198

Filed: February 13, 2001

For: SYSTEM AND METHOD FOR
MANAGING CONSUMER
INFORMATION

Assistant Commissioner for Patents
Washington, DC 20231

)
)
) Group Art Unit: Unknown
)
) Examiner: Unknown
)
)
)

Attention: **BOX MISSING PARTS**

Sir:

**RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION**

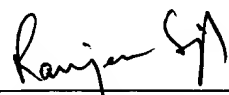
In response to the communication of March 16, 2001, Applicants submit a Declaration/Power of Attorney for filing in this application, the required fee of \$130.00, and a copy of the Notice of Missing Parts.

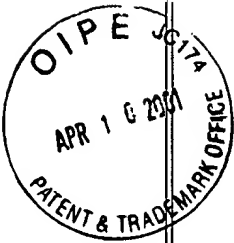
Please associate the enclosed declaration with the application, grant any extensions of time required to enter this response, and charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: April 10, 2001

By: 
Ranjeev K. Singh
Reg. No. 47,093



SECRET \$

PATENT
Customer Number 22,852
Attorney Docket No. 05793.3027-00

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Thomas BROADHEAD et al.) Group Art Unit: Unknown
)
Serial No.: 09/781,198) Examiner: Unknown
)
Filed: February 13, 2001)
)
For: SYSTEM AND METHOD FOR)
MANAGING CONSUMER)
INFORMATION)

TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Enclosed is a Response to Notice to File Missing Parts. The items checked below are appropriate.

The fees are calculated below:

- ☒ A fee of \$130.00 to cover the Declaration surcharge is enclosed.
- ☒ A check for \$40.00 to cover the Assignment Recordation fee is enclosed.

Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

Dated: April 10, 2001

By: Ranjeet Singh
Ranjeet K. Singh
Reg. No. 47,093

LAW OFFICES

FINNEGAN, HENDERSON,
FARABOW, GARRETT,
& DUNNER, L.L.P.
1300 I STREET, N. W.
WASHINGTON, DC 20005
202-408-4000